

**ORDER**

Submitted By: Michael Orticelle (On behalf of the arbitration panel)

Submitted Date: 08/08/2024 02:10:48 PM EST

Case ID & Parties:

FINRA Dispute Resolution Services

ORDER

Case Number: 24-00703

In the Matter of the Arbitration Between**Claimant(s)****VS****Respondent(s)**

Lenny F Millen, Jr.

Spartan Capital Securities, LLC

James Hitchcock

John D Lowry

Lorraine Hitchcock

Maurice Heard

James Hamlett

PREHEARING CONFERENCE

1. Was a prehearing conference held in the above captioned matter?

☒ **Yes**

☐ No

a. Prehearing conference date:

A prehearing conference was held in the above captioned matter on **08/08/2024** at **12:25 PM**
Eastern Time Zone

b. The following arbitrator(s) participated in the hearing:

Chairman: Michael Orticelle(Participated ☒)

Panelist: Lisa Proskin(Participated ☒)

Panelist: Linda Tvrdy(Participated ☒)

c. The following party representatives participated in the hearing:

Identify Claimant Representatives:

N/A

Identify Respondent Representatives:

N/A

d. FINRA Dispute Resolution Services staff attendee:

☐ The following FINRA Dispute Resolution Services Staff person participated in the hearing:

- Not applicable

ISSUES ADDRESSED

2. Issues addressed: (i.e., name of motion or request, by which party)

- The following pleadings have been addressed:

Respondent's Motion for a More Definite Statement of Claim from the Claimant.

ORDER DECIDED BY

3. Decided by:

- ☐ Chairperson
- Panel

RULINGS

4. Rulings:

- After considering the pleadings submitted by the parties (and oral arguments, if prehearing conference held), the Panel/Chairperson rules as follows:

The panel unanimously agrees to Grant the Respondent's motion. The Claimant shall submit a Statement of Claim and address the concerns outlined in the Respondent's motion.

5. Order compliance date:

- The parties should comply with this order by 09/20/2024
- ☐ Not applicable

ASSESSMENT OF FEES

6. Cost of prehearing conference:

- If the parties settle this matter with no further hearings, the forum fees for this prehearing conference (or discovery-related motion decided without a prehearing conference) are assessed as follows:

50% to Claimant(s), jointly and severally

50% to Respondent(s), jointly and severally

_____ % assessed to _____

_____ % assessed to _____

_____ % assessed to _____

_____ % assessed to _____

☐ Not applicable

ATTACHMENTS

There are no attached documents.